

EST. 1980 TYPE NOV 21 2005 PATENT & TRADEMARK OFFICE		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 2970-125	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. Application No. (if known) 10/549,799	
INTERNATIONAL APPLICATION NO. PCT/EP2004/002810		INTERNATIONAL FILING DATE March 18, 2004		PRIORITY DATE CLAIMED March 19, 2003	
TITLE OF INVENTION NUCLEOTIDE LIPID ESTER DERIVATIVES					
APPLICANT(S) FOR DO/EO/US Dieter HERRMANN, Brigitte HECKL-OESTREICHER, Chritoph MUELLER, Christian LUTZ, Robert VOIGT, William E. BAUTA					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371					
2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.					
3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.					
4. <input type="checkbox"/> The US has been elected (Article 31).					
5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 					
6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 					
7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 					
8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
ITEMS 11. TO 20. below concern other document(s) or information included:					
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.					
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13. <input type="checkbox"/> A preliminary amendment.					
14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.					
15. <input type="checkbox"/> A substitute specification.					
16. <input type="checkbox"/> A power of attorney and/or change of address letter.					
17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825					
18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).					
19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).					

U.S. APPLICATION NO. (If known) 10/549,799	INTERNATIONAL APPLICATION NO. PCT/EP2004/002810	ATTORNEY DOCKET NO. 2970-125
20. <input type="checkbox"/> Other items or information: <input type="checkbox"/> RO/101 <input type="checkbox"/> RO/105 <input type="checkbox"/> ISA/210(ISR) <input type="checkbox"/> IB/301 <input type="checkbox"/> IB/304 <input type="checkbox"/> IB/308 <input type="checkbox"/> IB 306 <input type="checkbox"/> IB/409(IPER) <input type="checkbox"/> IB/402 <input type="checkbox"/> Published Application WO/ 2004/083155A2		
21. The following fees are submitted: <input type="checkbox"/> Basic National Fee \$300.00	<u>CALCULATIONS</u> \$	<u>PTO USE ONLY</u>
22. <input type="checkbox"/> Examination Fee If the written opinion prepared by ISA/US or the IPER prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 All other situations \$200.00	\$	
23. <input type="checkbox"/> Search Fee If the written opinion of the ISA/US or the IPER prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Authority \$100.00 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400.00 All other situations \$500.00	\$	
TOTAL OF 21, 22 AND 23 =		\$
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.	\$	
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof
- 100 =	/ 50 =	x 250
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).		\$ 130
Claims	Number Filed	Number Extra
Total Claims	19 -20 =	X \$50.00
Independent Claims	2 - 3 =	X \$200.00
Multiple dependent claim(s) (if applicable)		+ \$360.00
TOTAL OF ABOVE CALCULATIONS =		\$ 130
<input type="checkbox"/> Applicant claims small entity status. The fees indicated above are reduced by 1/2.		\$
SUBTOTAL =		\$ 130
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		+
TOTAL NATIONAL FEE =		\$ 130
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		+
TOTAL FEES ENCLOSED =		\$ 130
11/25/2005 ATRAM1 00000047 022135 10549799 01 FC:167 130.00 DA		Amount to be refunded \$
		Amount to be charged \$

U.S. APPLICATION NO. (If known) New Application	INTERNATIONAL APPLICATION NO. PCT/EP2004/002810	ATTORNEY DOCKET NO. 2970-125
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a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 02-2135 in the amount of \$ 130 to cover the above fees.
A duplicate copy of this sheet is enclosed.

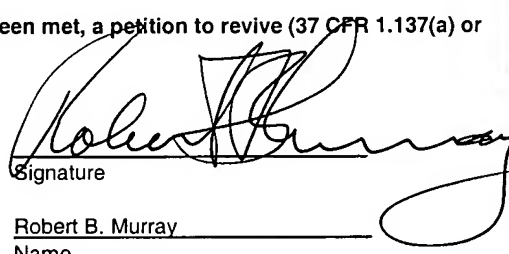
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer No. 06449

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Signature

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